



CONTRACT AMENDMENT #4

SIGNATURE AND COVER PAGE

State Agency Colorado Department of Early Childhood 710 South Ash Street, Building C Glendale, CO 80246	Original Contract Number 22 IHIA 167932
Contractor Developmental Disabilities Resource Center 11177 West 8th Avenue, Suite 300 Lakewood, CO 80215	Amendment Contract Number 24 QAAA 182973
Current Contract Maximum Amount Initial Term <div style="text-align: right;">State Fiscal Year 2022 \$3,583,165</div> An appropriation for Early Intervention Direct Services General Accounting Encumbrance (GAE) is hereby added to this contract in the amount of \$15,000,000, subject to appropriated funds which is split among other Early Intervention (EI) vendors. See Exhibit D: Section 12. Early Intervention Direct Services General Accounting Encumbrance (GAE). Extension Terms <div style="text-align: right;">State Fiscal Year 2023 \$4,586,633</div> An appropriation for Early Intervention Direct Services General Accounting Encumbrance (GAE) is hereby added to this contract in the amount of \$15,000,000, subject to appropriated funds which is split among other Early Intervention (EI) vendors. See Exhibit D: Section 12. Early Intervention Direct Services General Accounting Encumbrance (GAE). <div style="text-align: right;">State Fiscal Year 2024 \$4,586,633</div> An appropriation for Early Intervention Direct Services General Accounting Encumbrance (GAE) is hereby added to this contract in the amount of \$15,000,000, subject to appropriated funds which is split among other Early Intervention (EI) vendors. See Exhibit D: Section 12. Early Intervention Direct Services General Accounting Encumbrance (GAE). Total for All State Fiscal Years \$12,756,431	Contract Performance Beginning Date July 21, 2021 Current Contract Expiration Date June 30, 2024

Signature Page begins on next page →



COLORADO
Department of Early Childhood

THE PARTIES HERETO HAVE EXECUTED THIS AMENDMENT

Each person signing this Amendment represents and warrants that he or she is duly authorized to execute this Amendment and to bind the Party authorizing his or her signature.

<p style="text-align: center;">CONTRACTOR Developmental Disabilities Resource Center</p> <p>DocuSigned by: <i>Susan Hartley</i> 78450037562244B...</p> <p>By: Susan Hartley, Board President</p> <p>Date: <u>6/8/2023</u></p>	<p style="text-align: center;">STATE OF COLORADO Jared Polis, Governor Colorado Department of Early Childhood Lisa Roy, Ed.D., Executive Director</p> <p>DocuSigned by: <i>Lisa Castiglia</i> F11A91F07B444C8...</p> <p>By: Lisa Castiglia, Deputy Chief Financial Officer</p> <p>Date: <u>6/8/2023</u></p>
<p>In accordance with §24-30-202 C.R.S., this Amendment is not valid until signed and dated below by the State Controller or an authorized delegate.</p> <p style="text-align: center;">STATE CONTROLLER Robert Jaros, CPA, MBA, JD</p> <p>DocuSigned by: <i>Andrea Eurich</i> 2DEC0DEA60024B...</p> <p>By: <u>Andrea Eurich / Toni Williamson / Telly Belton</u></p> <p>Amendment Effective Date: <u>6/8/2023</u></p>	

-- Signature and Cover Pages End --



1. PARTIES

This Amendment (the “Amendment”) to the Original Contract shown on the Signature and Cover Page for this Amendment (the “Contract”) is entered into by and between the Contractor, and the State.

2. TERMINOLOGY

Except as specifically modified by this Amendment, all terms used in this Amendment that are defined in the Contract shall be construed and interpreted in accordance with the Contract.

3. AMENDMENT EFFECTIVE DATE AND TERM

A. Amendment Effective Date

This Amendment shall not be valid or enforceable until the Amendment Effective Date shown on the Signature and Cover Page for this Amendment. The State shall not be bound by any provision of this Amendment before that Amendment Effective Date, and shall have no obligation to pay Contractor for any Work performed or expense incurred under this Amendment either before or after of the Amendment term shown in **§3.B** of this Amendment.

B. Amendment Term

The Parties’ respective performances under this Amendment and the changes to the Contract contained herein shall commence on the Amendment Effective Date shown on the Signature and Cover Page for this Amendment or July 1, 2023, whichever is later, and shall terminate on the termination of the Contract.

4. PURPOSE

Provide early intervention services to eligible infants, toddlers, and their families, which are provided in accordance with Section 27-10.5-701, C.R.S. and Rules and Regulations 12 CCR 2509-10, Sections 7.900-7.994, as currently exist or may hereafter be promulgated or amended, and federal regulations, 34 C.F.R., Part 303, Early Intervention Program for Infants and Toddlers with Disabilities. This Amendment increases funds for SFY 2024 services, and amends Exhibits A, B, and E.

5. MODIFICATIONS

The Contract and all prior amendments thereto, if any, are modified as follows:

A. **Increase the Contract Amount for SFY24 by \$4,586,633 and Increases the Maximum Amount for All State Fiscal Years from \$8,169,798 to \$12,756,431.**

The Contract Maximum Amount table on the Contract’s Signature and Cover Page is hereby deleted and replaced with the Current Contract Maximum Amount table shown on the Signature and Cover Page for this Amendment.

B. **Exhibit A – Statement of Work**

Exhibit A – Amendment #4, which is attached and incorporated by this Amendment, shall be added to Exhibit A of the Original Contract.



C. Exhibit B – Budget

Exhibit B – Amendment #4, which is attached and incorporated by this Amendment, shall be added to Exhibit B of the Original Contract.

D. Exhibit E – Supplemental Provisions for Federal Awards

Exhibit E – Amendment #4, which is attached and incorporated by this Amendment, shall be added to Exhibit E of the Original Contract.

6. LIMITS OF EFFECT AND ORDER OF PRECEDENCE

This Amendment is incorporated by reference into the Contract, and the Contract and all prior amendments or other modifications to the Contract, if any, remain in full force and effect except as specifically modified in this Amendment. Except for the Special Provisions contained in the Contract, in the event of any conflict, inconsistency, variance, or contradiction between the provisions of this Amendment and any of the provisions of the Contract or any prior modification to the Contract, the provisions of this Amendment shall in all respects supersede, govern, and control. The provisions of this Amendment shall only supersede, govern, and control over the Special Provisions contained in the Contract to the extent that this Amendment specifically modifies those Special Provisions.



COLORADO
Department of Early Childhood

Exhibit A – Amendment #4

STATEMENT OF WORK (SOW)

EARLY INTERVENTION SERVICES

DEVELOPMENTAL DISABILITIES RESOURCE CENTER (DDRC)
11177 W. 8TH AVE.
LAKEWOOD, CO 80215

JULY 1, 2023-JUNE 30, 2024



Exhibit A – Amendment #4

INTRODUCTION/BACKGROUND

The Colorado Department of Early Childhood (CDEC), authorized, pursuant to 26.5-3-401 — 26.5-3-410 Colorado Revised Statutes (C.R.S.) (2022) to administer the statewide Early Intervention (EI) Colorado program and is designated as the lead agency for Part C of the Individuals with Disabilities Education Act (IDEA).

The Community Centered Boards (CCBs) have been designated by the CDEC as Certified EI Service Brokers (hereafter referred to as “Contractor”) as defined under Section 26.5-3-408 (2022), C.R.S. The EI Colorado program is administered by the CDEC and hereafter referred to as through contracts with CCBs. Contractors deliver community-based EI services to infants and toddlers, birth through two (2) years of age (after age three for children who are eligible for the Extended Part C Option), who have been determined to have a developmental delay or disability, who have been diagnosed with a physical or mental condition that has a high probability of resulting in a significant delay in development, or who are living with a parent who has a developmental disability. Contractors are responsible for the provision of Service Coordination, the development and implementation of the Individualized Family Service Plan (IFSP) development, monitoring the delivery of services and other functions.

The EI Colorado program provides eligible infants and toddlers, and their families, with services and supports to enhance child development in the areas of cognition, speech, communication, physical development, motor development, vision, hearing, social or emotional development, and self-help skills. EI services are funded through state funds, public and private insurance dollars, federal Part C funds and other funds.

The contract sets forth the requirements to be met by the Contractor for satisfactory contract performance and specifies those remedies that may be invoked in the event that the Contractor does not comply with the terms of the contract.

SCOPE OF WORK

The Contractor shall administer and provide a program for children determined to be eligible for EI services, as defined in the state and federal statutes, regulations, and procedures. EI services shall be provided by the Contractor, as a designated Certified EI Service Broker defined under pursuant to the following statutes, regulations and procedures as they currently exist or may hereafter be promulgated or amended, which are, by this reference, incorporated and made a part of this contract as set forth herein:

- A. Title 1, Part C of the IDEA of 2004, 20 United States Code (U.S.C.) 1431 through 1445
- B. Code of Federal Regulations (C.F.R.), Title 34, Volume 2, Part 303 Early Intervention Program for Infants and Toddlers with Disabilities and,
- C. Early Intervention Colorado State Plan
- D. Applicable Colorado Statute

PERIOD OF PERFORMANCE

The period of performance for this SOW is from July 1, 2023, until June 30, 2024



COLORADO
Department of Early Childhood

Exhibit A – Amendment #4

WORK PLAN

Work Plan					
OUTCOMES, BENCHMARKS, AND MILESTONES					
Outcome statement:	The Part C program shall be implemented to ensure infants and toddlers with developmental delays and disabilities and their families are provided service coordination and direct service provision to ensure a child makes developmental progress.				
Key Activity A: Fiscal Management					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Develop or utilize a cost allocation methodology approved by the state.	July 1, 2023– June 30, 2024	Submit and obtain approval by the state for the agency’s cost allocation plan.	Documentation of approved cost allocation plan.	CFO Executive Director	Personnel
Review annual budget with EI program staff	July 1, 2023– June 30, 2024	Hold meetings with EI program staff to review budget submitted to the state and how to document expenditures in alignment with budget categories.	Invoices submitted for payment each month shall appropriately tie back to budget lines.	CFO EI Coordinator	Personnel
Ensure timely submission of invoices.	July 1, 2023– June 30, 2024	Invoices shall be submitted monthly with appropriate documentation.	Invoice dates shall be within 30 days of the 1st of the following month and shall have appropriate documentation to ensure payment.	CFO Executive Director	Personnel
Notify the CDEC EI program of any anticipated changes to the budget or personnel	July 1, 2023- June 30, 2024	CDEC EI program staff shall be notified of all anticipated changes to the budget or personnel prior to the invoice which would reflect these changes.	Approval shall be documented for any change to budget or staff and/or a budget amendment shall be completed prior to invoicing for adjusted costs Requests shall be submitted via a method approved by EI Colorado.	CFO Executive Director EI Coordinator	Personnel
Comply with 8 CCR 1405-1	July 1, 2023 – June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	CFO Executive Director EI Coordinator	Personnel



Key Activity B: Data Collection					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Ensure service coordination is provided for each eligible infant and toddler from the date of the referral to the CCB through transition out of EI at age three (after age three for children who are eligible for the Extended Part C Option)	July 1, 2023– June 30, 2024	100% of eligible children and their families referred shall have a service coordinator assigned within 3 business days.	Report generated from EI data system shows the name of the service coordinator for each child and the date assigned.	EI Coordinator Service Coordinator	Service Coordination
Ensure service coordinators take training within required timelines	July 1, 2023– June 30, 2024	100% of service coordinators shall have taken the Dept. required trainings within the required timelines.	Tracking system for service coordination fundamentals training shows training completed within 120 days of Hire.	EI Coordinator Service Coordinator	Service Coordination
Comply with 8 CCR 1405-1	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	CFO Executive Director EI Coordinator	Personnel
Key Activity C: Service Coordination					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Ensure service coordination is provided for each eligible infant and toddler from the date of the referral to the CCB through transition out of EI at age three (after age three for children who are eligible for the Extended Part C Option)	July 1, 2023– June 30, 2024	100% of eligible children and their families referred shall have a service coordinator assigned within 3 business days.	Report generated from EI data system shows the name of the service coordinator for each child and the date assigned.	EI Coordinator Service Coordinator	Service Coordination
Ensure service coordinators take training within required timelines	July 1, 2023– June 30, 2024	100% of service coordinators shall have taken the Dept. required trainings within the required timelines.	Tracking system for service coordination fundamentals training shows training completed within 120 days of Hire.	EI Coordinator Service Coordinator	Service Coordination



COLORADO
Department of Early Childhood

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Key Activity D: Individualized Family Service Plan					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Comply with 8 CCR 1405-1	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	CFO Executive Director EI Coordinator	Personnel
Ensure that every eligible child has an IFSP developed within required timelines	July 1, 2023– June 30, 2024	Children found eligible for the EI program shall have an initial IFSP developed within 45 days of referral	Indicator 7 - Data reports generated from the EI data system shows IFSPs developed within 45 days of referral date.	Service Coordinator EI Coordinator	Service Coordination
Ensure the IFSP is reviewed at minimum every 6 months	July 1, 2023– June 30, 2024	Children who have had an IFSP for 6 months shall have a review	Data reports generated from the EI data system show IFSP reviews took place at least 6 months from the date of the initial or annual IFSP.	Service Coordinator EI Coordinator	Service Coordination
Ensure the annual IFSP is conducted one year from the initial IFSP date	July 1, 2023– June 30, 2024	Children who have had an IFSP for one year shall have an annual review	Data reports generated from the EI data system show annual IFSP took place not more than 1 year from initial or previous annual IFSP date.	Service Coordinator EI Coordinator	Service Coordination
Comply with 8 CCR 1405-1	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	EI Coordinator Service Coordinator	Service Coordination
Key Activity E: Early Intervention Services					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Ensure that early intervention services are provided in the child and family's natural environment	July 1, 2023– June 30, 2024	Children shall receive services in their natural environment within the context of their daily routines.	Data reports generated from DCFS EI data system show services provided in the child's natural environment. IFSPs reviewed show the child's services are conducted within the context of the family's routines.	Service Coordinator EI Service Provider EI Coordinator	Service Coordination and Direct Services



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Department of Early Childhood

Exhibit A – Amendment #4

Key Activity E: Early Intervention Services					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Ensure that telehealth is provided as an option for families	July 1, 2023– June 30, 2024	Families of children eligible for the EI program shall be offered the option for receiving services through telehealth.	Data reports generated from DCFS EI data system shall show telehealth as a method of delivery for at least some families in the program.	EI Coordinator Service Coordinator EI Service Provider	Service Coordination and Direct Services
Comply with 8 CCR 1405-1	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules	Onsite review or desk audit shall show compliance with rules.	EI Coordinator Service Coordinator EI Service Provider	Service Coordination and Direct Services
Key Activity F: Child and Family Outcomes Measurements					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Ensure that child outcomes measurements are conducted at entry, annually and at exit	July 1, 2023– June 30, 2024	Entry, annual and exit ratings shall be conducted for each child who has been in the EI program for at least 6 months.	Indicator 3 - Data reports generated from the DCFS EI data system shall show entry, annual and exit ratings within each initial, annual and transition IFSP for a child who has been in services for at least 6 months.	Service Coordinator EI Service Provider EI Coordinator	Service Coordination and Direct Services
Ensure that required contact information, including email, is entered for each family enrolled in EI services	July 1, 2023– June 30, 2024	Each family shall receive a family outcomes survey through email, if available.	Indicator 4 - Data reports shall show email addresses for every family when available.	Service Coordinator EI Coordinator	Service Coordination
Comply with 12 CCR 2509-10 7.961 and 7.962	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	Service Coordinator EI Coordinator	Service Coordination
Key Activity G: Transition Steps and Services					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Ensure transition requirements are conducted within the required timelines for children potentially eligible for Part B	July 1, 2023– June 30, 2024	Transition activities shall be conducted in accordance with the Transition Interagency Agreement between the Colorado Department of Education and the Colorado Department of Early Childhood.	Data reports generated from the EI data system shall show timelines are being met for children transitioning out of Part C services.	Service Coordinator EI Coordinator	Service Coordination



Key Activity G: Transition Steps and Services					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Ensure that the Part C Extended Option is made available to parents of children who meet the eligibility criteria	July 1, 2023– June 30, 2024	Parents shall be provided documents in order to make an informed decision about whether to elect to participated in the Extended Part C Option.	Data reports generated from the EI data system shall show whether a parent whose child was eligible did or did not chose the Extended Part C Option.	Service Coordinator EI Coordinator	Service Coordination
Comply with 8 CCR 1405-1	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	Service Coordinator EI Coordinator	Service Coordination
Key Activity H: Procedural Safeguards					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Comply with 8 CCR 1405-1	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	EI Coordinator	Service Coordination
Key Activity I: Dispute Resolution Process					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Comply with 8 CCR 1405-1	July 1, 2023– June 30, 2024	Program shall be in compliance with EI Colorado State Plan, Rule and fiscal rules.	Onsite review or desk audit shall show compliance with rules.	EI Coordinator	Personnel
Key Activity J: Referral and Intake					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Establish a process for receiving and processing referrals through all accepted methods: calls, faxes, emails and online referrals.	July 1, 2023– June 30, 2024	All referrals shall be processed in a timely manner.	A review of the data system or a desk audit shall show timely processing of referrals.	EI Coordinator Intake Service Coordinator Other staff	Personnel
Document attempts to contact using the EI Salesforce data system to show compliance with 8 CCR 1405-1	July 1, 2023– June 30, 2024	An intake service coordinator shall contact a referred family within 3 working days of referral. The family shall be contacted as soon as possible after being assigned to an intake service coordinator but no longer than seven (7) days from the date of the referral.	A review of the child's record in the Salesforce data system shall show that a family was contacted within 7 days of the referral	EI Coordinator Intake Service Coordinator	Personnel



Key Activity J: Referral and Intake					
Tasks	Time Period	Deliverable	Measurement	Person(s) Responsible	Budget Category
Enter referrals in the EI Salesforce data system.	July 1, 2023– June 30, 2024	All referrals received for children under the age of three (3), and more than 45 days before their third birthday, shall be entered in the EI Salesforce data system following the guidelines for data processing outlined in the EI Data System User Guide.	A review of the data system shall show all children referred are entered correctly in the data system.	EI Coordinator Intake Service Coordinator Other staff	Personnel
After evaluation has been completed, review the evaluation record to ensure completeness, contacting evaluation entity as needed.	July 1, 2023– June 30, 2024	Evaluation records shall be complete and accurate.	A review of evaluation records in the data system or through a desk audit shall show complete and accurate evaluation information.	EI Coordinator Intake Service Coordinator	Personnel
Evaluation results shall be sent to all families, including those whose child did not qualify.	July 1, 2023– June 30, 2024	Children who do not qualify shall have their results sent to the family.	A review of the data system or desk audit shall show contact notes confirming that the results of the evaluation were sent to the family.	EI Coordinator Intake Service Coordinator	Personnel

SCHEDULE/MILESTONES

Certified Early Intervention Service Broker shall provide early intervention services to all eligible infants and toddlers referred between July 1, 2023 and June 30, 2024.

ACCEPTANCE CRITERIA

The acceptance of all deliverables shall reside with the Colorado Department of Early Childhood (CDEC) Early Intervention Program. The designated program manager shall monitor all deliverables in order to ensure the completeness of each stage of the project and that the scope of work has been met. The Early Intervention Program Manager or delegated staff shall either sign off on the approval, or reply to the vendor, in writing, advising what tasks must still be accomplished.



Colorado Department of Early Childhood
BUDGET WITH JUSTIFICATION FORM

Contractor Name	Developmental Disabilities Resource Center
Budget Period	July 1, 2023 - June 30, 2024
Project Name	Early Intervention Colorado
Counties Served	Jefferson, Clear Creek, Gilpin, Summit

Program Contact Name, Title	Jamie Haney, Director of Access
Phone	303-462-6537
Email	jamie.haney@ddrcco.com
Fiscal Contact Name, Title	Gena Colbert, CFO
Phone	303-462-6509
Email	gena.colbert@ddrcco.com
Funded Caseload	1100

*See Early Intervention General Accounting Encumbrance (GAE) for Direct Services which is split among other Early Intervention Community Centered Boards pursuant to Exhibit D, Section 12.

Expenditure Categories					
Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and Fringe includes	Gross or Annual Salary	Fringe	Percent of Time on	Total From All Funding Sources
Administration					
	No costs shall be reimbursed for this category				
Sub-Total Administration (including fringe benefits)					\$0
Revenue Offset - Targeted Case Management (TCM)					\$0
Revenue Offset - Early Intervention Services Trust (EIST)					\$0
Revenue Offset - Public Insurance					\$0
Revenue Offset - Other Funding Sources					\$0
Total CDEC Funding (EI)					\$0
Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and Fringe includes	Gross or Annual Salary	Fringe	Percent of Time on Project	Total From All Funding Sources
Service Coordination					
Director Children and Family Services	Oversees administration of Early Intervention Service Coordination and FSSP programs including, overall supervision and quality of service coordination. This position is conservatively estimated to be associated directly with EI Service Coordination 50% of their time. This position shall track their time directly associated with EI and it will be charged accordingly.	100,038	\$45,017	50%	\$72,528
Administrative Assistant	Performs a variety of administrative tasks as outlined in request	36,373	\$16,368	100%	\$52,741
Team Lead #1	Provides support, supervision and technical assistance to Service Coordinators assigned to their team to assure the delivery of quality services for children birth through 2 with a developmental delay or disability.	74,370	\$33,467	100%	\$107,837
Team Lead #2	Provides support, supervision and technical assistance to Service Coordinators assigned to their team to assure the delivery of quality services for children birth through 2 with a developmental delay or disability.	70,750	\$31,837	100%	\$102,587
Senior Service Coordinator #1	The Senior Service Coordinator - responsible for providing service coordination support and training to Service Coordinators. A primary function of this position will be to cover caseloads. When caseload coverage is not needed, this position will provide technical assistance and training to Service Coordinators to Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	53,839	\$24,227	100%	\$78,066

Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and Fringe includes: health insurance, dental insurance, Federal Insurance Contribution Act (FICA), State Unemployment Insurance, life insurance, Workman's Compensation Insurance, retirement, Long Term Disability, Short Term Disability and vision. This includes the ability to compensate staff at a higher amount effective July 1, 2023, in accordance with the finalized CDEC-EI workforce retention plan.	Gross or Annual Salary	Fringe	Percent of Time on Project	Total From All Funding Sources
Service Coordination					
Senior Service Coordinator #2	The Senior Service Coordinator - responsible for providing service coordination support and training to Service Coordinators. A primary function of this position will be to cover caseloads. When caseload coverage is not needed, this position will provide technical assistance and training to Service Coordinators to Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	51,705	\$23,267	100%	\$74,972
Senior EI Intake Service Coordinator #1	Responsible for coordination of the intake process for all EI-funded services for children birth through 2 years of age. There is no funding for the Early Intervention intake function by any other source than State General Fund (there is no funding for EI intake in Medicaid, EIST or other funding).	47,231	\$21,254	100%	\$68,485
EI Intake Service Coordinator #2	Responsible for coordination of the intake process for all EI-funded services for children birth through 2 years of age. There is no funding for the Early Intervention intake function by any other source than State General Fund (there is no funding for EI intake in Medicaid, EIST or other funding).	46,650	\$20,993	100%	\$67,643
EI Intake Service Coordinator #3	Responsible for coordination of the intake process for all EI-funded services for children birth through 2 years of age. There is no funding for the Early Intervention intake function by any other source than State General Fund (there is no funding for EI intake in Medicaid, EIST or other funding).	40,098	\$18,044	100%	\$58,142
Service Coordinator #1	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	45,003	\$20,251	100%	\$65,254
Service Coordinator #2	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	44,615	\$20,571	100%	\$65,186
Service Coordinator #3	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	27,809	\$11,262	100%	\$39,071
Service Coordinator #4	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	44,179	\$19,881	100%	\$64,060
Service Coordinator #5	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	43,374	\$19,518	100%	\$62,892
Service Coordinator #6	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	43,880	\$19,746	100%	\$63,626
Service Coordinator #7	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	42,139	\$18,962	100%	\$61,101
Service Coordinator #8	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	44,703	\$20,117	100%	\$64,820
Service Coordinator #9	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	41,652	\$18,743	100%	\$60,395
Service Coordinator #10	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	41,652	\$18,743	100%	\$60,395
Service Coordinator #11	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	61,645	\$27,740	100%	\$89,385
Service Coordinator #12	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	48,316	\$21,742	100%	\$70,058
Service Coordinator #13	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	19,900	\$8,955	100%	\$28,855
Service Coordinator #14	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	19,188	\$8,635	100%	\$27,823
Service Coordinator #15	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	19,188	\$8,635	100%	\$27,823
Service Coordinator #16	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	19,188	\$8,635	100%	\$27,823

Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and Fringe includes: health insurance, dental insurance, Federal Insurance Contribution Act (FICA), State Unemployment Insurance, life insurance, Workman's Compensation Insurance, retirement, Long Term Disability, Short Term Disability and vision. This includes the ability to compensate staff at a higher amount effective July 1, 2023, in accordance with the finalized CDEC-EI workforce retention plan.	Gross or Annual Salary	Fringe	Percent of Time on Project	Total From All Funding Sources
Service Coordination					
Service Coordinator #17	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	19,188	\$8,635	100%	\$27,823
Service Coordinator #18	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	45,396	\$20,428	100%	\$65,824
Service Coordinator #19	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	51,705	\$23,267	100%	\$74,972
Service Coordinator #20	Coordinate services for children birth through 2 with a developmental delay or disability, monitor quality and delivery of IFSP.	49,028	\$22,062	100%	\$71,090
Sub-Total Service Coordination (including fringe benefits)					\$1,801,277
Revenue Offset - Targeted Case Management (TCM)					\$326,000
Revenue Offset - Early Intervention Services Trust (EIST)					\$177,000
Revenue Offset - Public Insurance					\$0
Revenue Offset - Other Funding Sources					\$0
Total CDEC Funding (EI)					\$1,298,277
Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and Fringe includes: health insurance, dental insurance, Federal Insurance Contribution Act (FICA), State Unemployment Insurance, life insurance, Workman's Compensation Insurance, retirement, Long Term Disability, Short Term Disability and vision. This includes the ability to compensate staff at a higher amount effective July 1, 2023, in accordance with the finalized CDEC-EI workforce retention plan.	Gross or Annual Salary	Fringe	Percent of Time on Project	Total From All Funding Sources
Direct Services					
Early Intervention Director	The Director of Early Intervention coordinates the efforts of the entire EI team. This includes hiring/firing, supervising, leading and motivating the EI team through a collaborative model. The position is responsible for overall program direction and coordination with cooperating agencies.	\$114,444	\$45,778	100%	\$160,222
Early Intervention Assistant Director	The Assistant Director provides support to the Director and the entire EI program. This includes filling in for the Director when appropriate, participating in the hiring/disciplinary process, supervising EI staff, leading and motivating the EI Team through a collaborative model. This position also works closely with the Children and Family Services/Resource Coordinators, managers/directors from other departments, members of the State EI Team and other community partners. The Assistant Director is responsible for ensuring the EI Program is following all Part C and EI State rules and regulations, managing funding for direct services, managing contractor pool, Child and Family outcomes, ongoing data management and related EI indicators.	\$107,033	\$42,813	100%	\$149,846
Program Manager	This position provides support to the Early Intervention Director and Assistant Director for program management, staff performance and monitoring, implementation and carry out of division and organization short and long-term goals. Works with families and stakeholders to address concerns not able to be resolved at provider level. Monitors and assists with day-to-day activity of the division and support to staff and contractors. Manages contracts and IC relationships.	\$79,733	\$31,893	100%	\$111,626
Administrative Assistant #1	This position will provide support to the Director, Assistant Director, and Early Intervention Team regarding the daily office duties within the department.	\$40,560	\$16,224	100%	\$56,784

Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and Fringe includes: health insurance, dental insurance, Federal Insurance Contribution Act (FICA), State Unemployment Insurance, life insurance, Workman's Compensation Insurance, retirement, Long Term Disability, Short Term Disability and vision. This includes the ability to compensate staff at a higher amount effective July 1, 2023, in accordance with the finalized CDEC-EI workforce retention plan.	Gross or Annual Salary	Fringe	Percent of Time on Project	Total From All Funding Sources
Direct Services					
Early Childhood Special Education Teacher #1	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Master’s Degree and registration in or endorsement in Early Childhood Special Education with CDE Licensure.	\$72,096	\$28,839	100%	\$100,935
Early Childhood Special Education Teacher #2	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Master’s Degree and registration in or endorsement in Early Childhood Special Education with CDE Licensure.	\$89,282	\$35,713	100%	\$124,995
EI Billing Coordinator #1	This position will provide support to the Director, Assistant Director, and Early Intervention Team regarding the daily office duties within the department, including gathering all the data essential to submit to the Finance department for billing	\$36,400	\$14,560	100%	\$50,960
EI Billing Coordinator #2	This position will provide support to the Director, Assistant Director, and Early Intervention Team regarding the daily office duties within the department, including gathering all the data essential to submit to the Finance department for billing	\$50,002	\$20,001	100%	\$70,003
EI Billing Coordinator #3	This position will provide support to the Director, Assistant Director, and Early Intervention Team regarding the daily office duties within the department, including gathering all the data essential to submit to the Finance department for billing	\$41,582	\$16,633	100%	\$58,215
Senior Therapist #1	This position will provide early intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. This position will also provide support, mentorship, training, tech support and leadership to the Early Intervention Team, along with community outreach and other special projects as assigned by the EI Manager and EI Deputy Manager. Senior Therapist #2 shall track their time directly associated with EI and it will be charged accordingly.	\$93,994	\$37,598	100%	\$131,592
Senior Therapist #2	This position will provide early intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. This position will also provide support, mentorship, training, tech support and leadership to the Early Intervention Team, along with community outreach and other special projects as assigned by the EI Manager and EI Deputy Manager. Senior Therapist #2 shall track their time directly associated with EI and it will be charged accordingly.	\$65,924	\$26,370	100%	\$92,294
Senior Therapist #3	This position will provide early intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. This position will also provide support, mentorship, training, tech support and leadership to the Early Intervention Team, along with community outreach and other special projects as assigned by the EI Manager and EI Deputy Manager. Senior Therapist #2 shall track their time directly associated with EI and it will be charged accordingly.	\$85,223	\$34,089	100%	\$119,312
Social Emotional Therapist #1	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. MSW with licensure, LPC with masters/ license and early childhood mental health experience, BCBA with masters/ certification, ECSE with license or endorsement or EC Mental Health specialists with masters/ license. Birth to three experience required.	\$74,126	\$29,650	100%	\$103,776

Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and	Gross or Annual Salary	Fringe	Percent of Time on Project	Total From All Funding Sources
	Fringe includes: health insurance, dental insurance, Federal Insurance Contribution Act (FICA), State Unemployment Insurance, life insurance, Workman's Compensation Insurance, retirement, Long Term Disability, Short Term Disability and vision. This includes the ability to compensate staff at a higher amount effective July 1, 2023, in accordance with the finalized CDEC-EI workforce retention plan.				
Direct Services					
Social Emotional Therapist #2	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. MSW with licensure, LPC with masters/ license and early childhood mental health experience, BCBA with masters/ certification, ECSE with license or endorsement or EC Mental Health specialists with masters/ license. Birth to three experience required.	\$72,096	\$28,838	100%	\$100,934
Speech-language pathologist #1	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$80,416	\$32,167	100%	\$112,583
Speech-language pathologist #2	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$84,684	\$33,874	100%	\$118,558
Speech-language pathologist #3	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$85,084	\$34,034	100%	\$119,118
Speech-language pathologist #4	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$89,734	\$35,893	100%	\$125,627
Speech-language pathologist #5	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$86,350	\$34,540	100%	\$120,890
Speech-language pathologist #6	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$77,539	\$31,016	100%	\$108,555
Speech-language pathologist #7	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$79,018	\$31,607	100%	\$110,625
Speech-language pathologist #8	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Speech-Language Pathologist: Master’s in Speech-Language Pathology, CCCs and Colorado license.	\$73,620	\$29,448	100%	\$103,068
Occupational Therapist #1	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Occupational Therapist: BS in Occupational Therapy, Master’s in Occupational Therapy preferred. Colorado license.	\$75,492	\$30,197	100%	\$105,689
Occupational Therapist #2	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Occupational Therapist: BS in Occupational Therapy, Master’s in Occupational Therapy preferred. Colorado license.	\$76,475	\$30,590	100%	\$107,065
Occupational Therapist #3	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Occupational Therapist: BS in Occupational Therapy, Master’s in Occupational Therapy preferred. Colorado license.	\$75,192	\$30,077	100%	\$105,269
Occupational Therapist #4	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. Occupational Therapist: BS in Occupational Therapy, Master’s in Occupational Therapy preferred. Colorado license.	\$79,015	\$31,606	100%	\$110,621

Personnel Services - Salaried Employees					FY 2024
Position Title	Description of Work and Fringe includes: health insurance, dental insurance, Federal Insurance Contribution Act (FICA), State Unemployment Insurance, life insurance, Workman's Compensation Insurance, retirement, Long Term Disability, Short Term Disability and vision. This includes the ability to compensate staff at a higher amount effective July 1, 2023, in accordance with the finalized CDEC-EI workforce retention plan.	Gross or Annual Salary	Fringe	Percent of Time on Project	Total From All Funding Sources
Direct Services					
Physical Therapist #1	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. BS in Physical Therapy, Master’s in Physical Therapy preferred. Colorado license.	\$73,500	\$29,400	100%	\$102,900
Physical Therapist #2	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. BS in Physical Therapy, Master’s in Physical Therapy preferred. Colorado license.	\$79,015	\$31,606	100%	\$110,621
Physical Therapist #3	This position will provide intervention services to children and families in the EI program using the trans-disciplinary/primary service provider model. BS in Physical Therapy, Master’s in Physical Therapy preferred. Colorado license.	\$65,750	\$26,300	100%	\$92,050
Sub-Total Direct Services (including fringe benefits)					\$3,084,733
Revenue Offset - Targeted Case Management (TCM)					\$0
Revenue Offset - Early Intervention Services Trust (EIST)					\$450,000
Revenue Offset - Public Insurance					\$95,000
Revenue Offset - Other Funding Sources					\$0
Total CDEC Funding (EI)					\$2,539,733
Personnel Services Funding Summary					
Total Personnel Services (including fringe benefits)					\$4,886,010
Revenue Offset - Targeted Case Management (TCM)					\$326,000
Revenue Offset - Early Intervention Services Trust (EIST)					\$627,000
Revenue Offset - Public Insurance					\$95,000
Revenue Offset - Other Funding Sources					\$0
Total CDEC Funding (EI)					\$3,838,010
Contractors/Consultants (payments to third parties or entities)					FY 2024
Item	Description of Item				Total CDEC Funding (EI)
	No costs shall be reimbursed for this category				
Total Contractors/Consultants					\$0
Travel					FY 2024
Item	Description of Item				Total CDEC Funding (EI)
Administration					
	No costs shall be reimbursed for this category				
Service Coordination					
Mileage	Mileage costs for off site meetings or training associated with the EI program. DDRC pays staff the IRS approved standard mileage rate. This expense is entirely for mileage reimbursement to staff for family visits for EI children.				\$15,000
Direct Services					
Mileage	Mileage costs for off site meetings or training associated with the EI program. DDRC pays staff the IRS approved standard mileage rate. This expense is entirely for mileage reimbursement to staff for therapy sessions for EI children.				\$35,000
Total Travel					\$50,000

Supplies & Operating Expenses		FY 2024
Name	Description of Item	Total CDEC Funding (EI)
Administration		
	No costs shall be reimbursed for this category	
Service Coordination		
Training & Conferences	Training & Conference expenses are for the EI Service Coordination department to attend external trainings and conferences. These expenses are specifically identified to the department.	\$ 4,000
Computer Maintenance Costs	Computer Maintenance Costs include DDRC's internal case management software and other normal business operation software including training software, password software, video conferencing software and email archiving/secure messaging system. DDRC's internal case management software is charged based on an allocation by the number of users. The other business operations software is allocated based on the number of employees.	\$ 20,000
Data Processing	Data Processing is Payroll processing costs. These costs are allocated to all departments within DDRC based on the number of employees paid in a particular department.	\$ 5,500
Insurance	Insurance expense includes property coverage for the DDRC building and are allocated by occupancy square footage for each program/department. For other types of insurance including the professional and umbrella coverage these are allocated based on the numbers of employees in a particular program/department.	\$ 13,000
Supplies	Supplies expense includes both office supplies and program supplies and are specifically identified and charged to each department as incurred.	\$ 2,500
Repairs and Maintenance	Repairs and Maintenance expenses are for general repairs and maintenance of the DDRC building. If there is a repair or maintenance expense directly associated with a department it is specifically identified and charged accordingly. General repairs and maintenance to the DDRC building are allocated by occupancy square footage for each program/department. Examples would include snow removal, lawn maintenance, janitorial supplies, HVAC, fire alarm monitoring, elevator maintenance	\$ 11,000
Telephone	Telephone expense includes cell phones specifically identified for the EI department and an allocation of the phone is based on an overall allocation across the employees of DDRC's three main facilities. Data lines are allocated by the number of employees per facility.	\$ 21,000
Postage	Postage is for various mailings conducted by the EI Service Coordination department during the year and are specifically identified to the department.	\$ 300
Other Professional Services	Professional services including language interpreter services for EI Service coordination and are specifically identified to the department	\$ 14,000
Utilities	Utility expense includes electricity, gas, water, sewer and trash removal for the DDRC building. Utilities are allocated by occupancy square footage for each program/department.	\$ 10,000
Depreciation	Depreciation is allocated by occupancy square footage for each program/department. Depreciation expense is for the DDRC building.	\$ 14,300
Direct Services		
Data Processing	Data Processing is Payroll processing costs. These costs are allocated to all departments within DDRC based on the number of employees paid in a particular department.	\$ 8,000
Dues, Subscriptions, Publications, Certifications	Dues, Subscriptions, Publications, Certifications are for various departmental dues or publications relating to the EI services rendered. These expenses are specifically identified to the department.	\$ 5,000
Training & Conferences	Training & Conference expenses are for the EI department to attend external trainings and conferences. These expenses are specifically identified to the department.	\$ 25,000
Other Professional Services	Professional contract services that support departmental operations	\$ 43,056
Insurance	Insurance expense includes property coverage for the DDRC building and are allocated by occupancy square footage for each program/department. For other types of insurance including the professional and umbrella coverage these are allocated based on the numbers of employees in a particular program/department.	\$ 11,000
Supplies	Supplies expense includes both office supplies and program supplies and are specifically identified and charged to each department as incurred.	\$ 2,500
Repairs and Maintenance	Repairs and Maintenance expenses are for general repairs and maintenance of the DDRC building. If there is a repair or maintenance expense directly associated with a department it is specifically identified and charged accordingly. General repairs and maintenance to the DDRC building are allocated by occupancy square footage for each program/department. Examples would include snow removal, lawn maintenance, janitorial supplies, HVAC, fire alarm monitoring, elevator maintenance etc.	\$ 9,000

Supplies & Operating Expenses		FY 2024
Name	Description of Item	Total CDEC Funding (EI)
Direct Services		
Telephone	Telephone expense includes cell phones specifically identified for the EI department and an allocation of the phone is based on an overall allocation across the employees of DDRC's three main facilities. Data lines are allocated by the number of employees per facility.	\$ 18,700
Utilities	Utility expense includes electricity, gas, water, sewer and trash removal for the DDRC building. Utilities are allocated by occupancy square footage for each program/department.	\$ 7,300
Computer Maintenance Costs	Computer Maintenance Costs include DDRC's normal business operation software including training software, password software, video conferencing software and email archiving/secure messaging system. The other business operations software is allocated based on the number of employees.	\$ 22,000
Depreciation	Depreciation is allocated by occupancy square footage for each program/department. Depreciation expense is for the DDRC building.	\$ 14,500
Total Supplies & Operating		\$281,656
Items that cannot be included in Modified Total Direct Costs (MTDC)		SFY 2024
Item	Description of Item	Total CDEC Funding (EI)
Administration		
	No costs were identified for this category	
Service Coordination		
	No costs were identified for this category	
Total Items that cannot be included in MTDC		\$0
Total Direct Costs Funding Summary		
TOTAL DIRECT COSTS		\$5,217,666
Revenue Offset - Targeted Case Management (TCM)		\$326,000
Revenue Offset - Early Intervention Services Trust (EIST)		\$627,000
Revenue Offset - Public Insurance		\$95,000
Revenue Offset - Other Funding Sources		\$0
Total CDEC Funding (EI)		\$4,169,666
Modified Total Direct Costs Funding Summary		
MODIFIED TOTAL DIRECT COSTS (MTDC)		\$5,217,666
Uniform Guidance § 200.68 - MTDC means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward. MTDC excludes equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000.		
Revenue Offset - Targeted Case Management (TCM)		\$326,000
Revenue Offset - Early Intervention Services Trust (EIST)		\$627,000
Revenue Offset - Public Insurance		\$95,000
Revenue Offset - Other Funding Sources		\$0
Total CDEC Funding (EI)		\$4,169,666
Indirect Costs		FY 2024
[not to exceed 10% unless Negotiated Federal Indirect Cost rate or Negotiated State Indirect Cost rate is attached]		
Item	Description of Item	Total CDEC Funding (EI)
Indirect Rate	10% de minimis rate elected by the agency for reimbursement	\$416,967
Total Indirect		\$416,967
Total Contract Funding Summary		
TOTAL		\$5,634,633
Revenue Offset - Targeted Case Management (TCM)		\$326,000
Revenue Offset - Early Intervention Services Trust (EIST)		\$627,000
Revenue Offset - Public Insurance		\$95,000
Revenue Offset - Other Funding Sources		\$0
Total CDEC Funding (EI)		\$4,586,633

*Figures are rounded using basic accounting standards. (0.00-0.49 = 0; 0.50-0.99 = 1.0)



EXHIBIT E – Amendment #4 - Supplemental Provisions for Federal Awards

For the purposes of this Exhibit only, Contractor is also identified as “Subrecipient.” This Contract has been funded, in whole or in part, with an award of Federal funds. In the event of a conflict between the provisions of these Supplemental Provisions for Federal Awards, the Special Provisions, the Contract or any attachments or exhibits incorporated into and made a part of the Contract, the Supplemental Provisions for Federal Awards shall control. In the event of a conflict between the Supplemental Provisions for Federal Awards and the FFATA Supplemental Provisions (if any), and/or exhibit regarding SLFRF Federal Provisions, the terms re FFATA and/or SLFRF shall control. If the source of the funding of the Contract is a grant, these Federal Provisions are subject to the Award as defined in §2 of these Federal Provisions, as may be revised pursuant to ongoing guidance from the relevant Federal or State of Colorado agency or institutions of higher education.

1) Federal Award Identification

- i. Subrecipient: **Developmental Disabilities Resource Center;**
- ii. Subrecipient Unique Entity Identifier (UEI) number: **KYNZB935BFV4;**
- iii. The Federal Award Identification Number (FAIN) is **H181A220097;**
- iv. The Federal award date is **September 28, 2022;**
- v. The subaward period of performance start date is **July 1, 2022** and end date is **September 30, 2023;**
- vi. Federal Funds:

Contract or Fiscal Year	Amount of Federal funds obligated by this Contract	Total amount of Federal funds obligated to the Subrecipient	Total amount of the Federal Award committed to Subrecipient by CDEC
FY24	\$687,995*	\$687,995* *An appropriation for Early Intervention Direct Services General Accounting Encumbrance (GAE) is hereby added to this contract. The maximum amount payable for DS GAE is subject to appropriated funds, not to exceed \$2,250,000, which is split among other Early Intervention (EI) vendors.	\$687,995*

- vii. Federal award project description: **Special Education - Grants for Infants and Families with Disabilities;**
- viii. The name of the Federal awarding agency is **U.S. Department of Education;** the name of the pass-through entity is the State of Colorado, Department of Early Childhood (CDEC); and the contact information for the awarding official is **Kendra Dunn, Director, Division of Community and Family Support; kendra.dunn@state.co.us; 720.470.2134;**

- i. The Catalog of Federal Domestic Assistance (CFDA) number is **84.181A**, name is **Individuals with Disabilities Education Act** and dollar amount is **\$7,790,828**;
 - ii. This award is **not** for research & development;
 - iii. The indirect cost rate for the Federal award (including if the de minimis rate is charged per 2 CFR §200.414 Indirect (F&A) costs) is pre-determined based upon the State of Colorado and CDEC cost allocation plan.
- 2) All requirements imposed by CDEC on Subrecipient so that the Federal award is used in accordance with Federal statutes, regulations, and the terms and conditions of the Federal award, are stated in **General Provisions, Exhibit A - Statement of Work, Exhibit D - Additional Provisions**.
- 3) Any additional requirements that CDEC imposes on Subrecipient in order for CDEC to meet its own responsibility to the Federal awarding agency, including identification of any required financial and performance reports, are stated in **General Provisions, Exhibit A - Statement of Work, Exhibit D - Additional Provisions**.
- 4) Subrecipient's approved indirect cost rate is **the de minimis rate of 10 %**.
- 5) Subrecipient must permit CDEC and auditors to have access to Subrecipient's records and financial statements as necessary for CDEC to meet the requirements of 2 CFR §200.331 Requirements for pass-through entities, §§ 200.300 Statutory and National Policy Requirements through §200.309 Period of performance, and Subpart F—Audit Requirements of this Part.
- 6) The appropriate terms and conditions concerning closeout of the subaward are listed in Section 16 of this Exhibit and **General Provisions, Exhibit A - Statement of Work, Exhibit D - Additional Provisions**.
- 7) **Performance and Final Status.** Subrecipient shall submit all financial, performance, and other reports to CDEC no later than **30** calendar days after the period of performance end date or sooner termination of this Contract containing an evaluation and review of Subrecipient's performance and the final status of Subrecipient's obligations hereunder.
- 8) **Matching Funds**

If a box below is checked, the accompanying provision applies.

- i. ☒ Subrecipient is not required to provide matching funds.
- ii. ☐ Subrecipient shall provide matching funds as stated in **N/A**. Subrecipient shall have raised the full amount of matching funds prior to the Effective Date and shall report to CDEC regarding the status of such funds upon request. Subrecipient's obligation to pay all or any part of any matching funds, whether direct or contingent, only extends to funds duly and lawfully appropriated for the purposes of this Contract by the authorized representatives of the Subrecipient and paid into the Subrecipient's treasury or bank account. Subrecipient represents to CDEC that the amount designated as matching funds has been legally appropriated for the purposes of this Contract by its authorized representatives and paid into its treasury or bank account. Subrecipient does not by this Contract irrevocably pledge present cash reserves for payments in future fiscal years, and this Contract is not intended to create a multiple-fiscal year debt of the Subrecipient. Subrecipient shall not pay or be liable for any claimed interest, late charges, fees, taxes or penalties of any nature, except as required by Subrecipient's laws or policies.

1. DEFINITIONS.

- 1.1. For the purposes of these Federal Provisions, the following terms shall have the meanings ascribed to them below.
 - 1.1.1. "Award" means an award of Federal financial assistance, and the Contract setting forth the terms and conditions of that financial assistance, that a non-Federal Entity receives or administers.
 - 1.1.1.1. Awards may be in the form of:
 - 1.1.1.2. Grants;
 - 1.1.1.3. Contracts;

- 1.1.1.1.4. Cooperative Contracts, which do not include cooperative research and development Contracts (CRDA) pursuant to the Federal Technology Transfer Act of 1986, as amended (15 U.S.C. 3710);
- 1.1.1.1.5. Loans;
- 1.1.1.1.6. Loan Guarantees;
- 1.1.1.1.7. Subsidies;
- 1.1.1.1.8. Insurance;
- 1.1.1.1.9. Food commodities;
- 1.1.1.1.10. Direct appropriations;
- 1.1.1.1.11. Assessed and voluntary contributions; and
- 1.1.1.1.12. Other financial assistance transactions that authorize the expenditure of Federal funds by non-Federal Entities.
- 1.1.1.1.13. Any other items specified by OMB in policy memoranda available at the OMB website or other source posted by the OMB.
- 1.1.1.2. Award **does not** include:
 - 1.1.1.2.1. Technical assistance, which provides services in lieu of money;
 - 1.1.1.2.2. A transfer of title to Federally-owned property provided in lieu of money; even if the award is called a grant;
 - 1.1.1.2.3. Any award classified for security purposes; or
 - 1.1.1.2.4. Any award funded in whole or in part with Recovery funds, as defined in section 1512 of the American Recovery and Reinvestment Act (ARRA) of 2009 (Public Law 111-5).
- 1.1.2. “Contract” means the Contract to which these Federal Provisions are attached and includes all Award types in § of this Exhibit.
- 1.1.3. “Contractor” means the party or parties to a Contract funded, in whole or in part, with Federal financial assistance, other than the Prime Recipient, and includes grantees, subgrantees, Subrecipients, and borrowers. For purposes of Transparency Act reporting, Contractor does not include Vendors.
- 1.1.4. “Data Universal Numbering System (DUNS) Number” means the nine-digit number established and assigned by Dun and Bradstreet, Inc. to uniquely identify a business entity. Dun and Bradstreet’s website may be found at: <http://fedgov.dnb.com/webform>.
- 1.1.5. “Entity” means:
 - 1.1.5.1. If the source of funding is a Grant:
 - 1.1.5.1.1. a Non-Federal Entity;
 - 1.1.5.1.2. a foreign public entity;
 - 1.1.5.1.3. a foreign organization;
 - 1.1.5.1.4. a non-profit organization;
 - 1.1.5.1.5. a domestic for-profit organization (for 2 CFR parts 25 and 170 only);
 - 1.1.5.1.6. a foreign non-profit organization (only for 2 CFR part 170) only);
 - 1.1.5.1.7. a Federal agency, but only as a Subrecipient under an Award or Subaward to a non-Federal entity (or 2 CFR 200.1); or
 - 1.1.5.1.8. a foreign for-profit organization (for 2 CFR part 170 only).

- 1.1.5.2. If the source of funding is not a Grant:
 - 1.1.5.2.1. all of the following as defined at 2 CFR part 25, subpart C;
 - 1.1.5.2.2. A governmental organization, which is a State, local government, or Indian Tribe;
 - 1.1.5.3. a foreign public entity;
 - 1.1.5.4. a domestic or foreign non-profit organization;
 - 1.1.5.5. a domestic or foreign for-profit organization; and
 - 1.1.5.6. a Federal agency, but only a Subrecipient under an Award or Subaward to a non-Federal entity.
- 1.1.6. “Executive” means an officer, managing partner or any other employee in a management position.
- 1.1.7. If the source of funding is a Grant, “Federal Awarding Agency” means a Federal agency providing a Federal Award to a Recipient as described in 2 CFR 200.1. If the source of funding is not a Grant, “Federal Award Identification Number (FAIN)” means an Award number assigned by a Federal agency to a Prime Recipient.
- 1.1.8. “FFATA” means the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), as amended by §6202 of Public Law 110-252. FFATA, as amended, also is referred to as the “Transparency Act.”
- 1.1.9. “Federal Provisions” means these Federal Provisions subject to the Transparency Act and Uniform Guidance, as may be revised pursuant to ongoing guidance from the relevant Federal or State of Colorado agency or institutions of higher education.
- 1.1.10. If the source of funding is a Grant, “Grant” as used herein is the Contract to which these Federal Provisions are attached.
- 1.1.11. “Grantee” means the party or parties identified as such in the Grant to which these Federal Provisions are attached if the source of funding is a Grant.
- 1.1.12. “Non-Federal Entity means a State, local government, Indian tribe, institution of higher education, or nonprofit organization that carries out a Federal Award as a Recipient or a Subrecipient.
- 1.1.13. “Nonprofit Organization” means any corporation, trust, association, cooperative, or other organization, not including IHEs, that:
 - 1.1.13.1. Is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest;
 - 1.1.13.2. Is not organized primarily for profit; and
 - 1.1.13.3. Uses net proceeds to maintain, improve, or expand the operations of the organization.
- 1.1.14. “OMB” means the Executive Office of the President, Office of Management and Budget.
- 1.1.15. “Pass-through Entity” means a non-Federal Entity that provides a Subaward to a Subrecipient to carry out part of a Federal program.
- 1.1.16. “Prime Recipient” means a Colorado State agency or institution of higher education that receives an Award, or, if the source of funding is a Grant it is that agency or institution identified as the Grantor in the Grant to which these Federal Provisions are attached.
- 1.1.17. “Subaward” means an award by a Prime Recipient to a Subrecipient funded in whole or in part by a Federal Award. The terms and conditions of the Federal Award flow down to the Subaward unless the terms and conditions of the Federal Award specifically indicate otherwise in accordance with 2 CFR 200.101 or 2 CFR 200.38, as applicable. The term does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal program.

- 1.1.18. “Subrecipient” or, if the source of funding is a Grant, “Subgrantee” means a non-Federal Entity (or a Federal agency under an Award or Subaward to a non-Federal Entity) receiving Federal funds through a Prime Recipient to support the performance of the Federal project or program for which the Federal funds were awarded. A Subrecipient is subject to the terms and conditions of the Federal Award to the Prime Recipient, including program compliance requirements. The term “Subrecipient” includes and may be referred to as Subgrantee. The term does not include an individual who is a beneficiary of a federal program.
- 1.1.19. “Subrecipient Parent DUNS Number” means the subrecipient parent organization’s 9-digit Data Universal Numbering System (DUNS) number that appears in the subrecipient’s System for Award Management (SAM) profile, if applicable.
- 1.1.20. “System for Award Management (SAM)” means the Federal repository into which an Entity must enter the information required under the Transparency Act, which may be found at <http://www.sam.gov>.
- 1.1.21. “Total Compensation” means the cash and noncash dollar value earned by an Executive during the Prime Recipient’s or Subrecipient’s preceding fiscal year (see 48 CFR 52.204-10, as prescribed in 48 CFR 4.1403(a), as applicable) and includes the following:
- 1.1.21.1. Salary and bonus;
 - 1.1.21.2. Awards of stock, stock options, and stock appreciation rights, using the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2005) (FAS 123R), Shared Based Payments;
 - 1.1.21.3. Earnings for services under non-equity incentive plans, not including group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of Executives and are available generally to all salaried employees;
 - 1.1.21.4. Change in present value of defined benefit and actuarial pension plans;
 - 1.1.21.5. Above-market earnings on deferred compensation which is not tax-qualified;
 - 1.1.21.6. Other compensation, if the aggregate value of all such other compensation (e.g., severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the Executive exceeds \$10,000.
- 1.1.22. “Transparency Act” means the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), as amended by §6202 of Public Law 110-252. The Transparency Act may also be referred to as FFATA.
- 1.1.23. “Uniform Guidance” means the Office of Management and Budget Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, which, unless the source of funding is a Grant, supersedes requirements from OMB Circulars A-21, A-87, A-110, and A-122, OMB Circulars A-89, A-102, and A-133, and the guidance in Circular A-50 on Single Audit Act follow-up. The terms and conditions of the Uniform Guidance flow down to Awards to Subrecipients unless the Uniform Guidance or the terms and conditions of the Federal Award specifically indicate otherwise.
- 1.1.24. “Vendor” means a dealer, distributor, merchant or other seller providing property or services required for a project or program funded by an Award. A Vendor is not a Prime Recipient or a Subrecipient and is not subject to the terms and conditions of the Federal award. Program compliance requirements do not pass through to a Vendor.

2. COMPLIANCE.

- 2.1. Contractor/Grantee shall comply with all applicable provisions of the Transparency Act and the regulations issued pursuant thereto, all applicable provisions of the Uniform Guidance, including, but not limited to, all applicable Federal Laws and regulations required by this Federal Award. Any revisions to such provisions or regulations shall automatically become a part of these Federal Provisions, without the necessity of either party executing any further instrument. The State of Colorado, at its discretion, may provide written notification to Contractor/Grantee of such revisions, but such notice shall not be a condition precedent to the effectiveness of such revisions.

3. SYSTEM FOR AWARD MANAGEMENT (SAM) AND DATA UNIVERSAL NUMBERING SYSTEM (DUNS) REQUIREMENTS.

- 3.1. SAM. Contractor/Grantee shall maintain the currency of its information in SAM until the Contractor/Grantee submits the final financial report required under the Award or receives final payment, whichever is later. Contractor/Grantee shall review and update SAM information at least annually after the initial registration, and more frequently if required by changes in its information.
- 3.2. DUNS. Contractor/Grantee shall provide its DUNS number to its Prime Recipient, and shall update Contractor's/Grantee's information in Dun & Bradstreet, Inc. at least annually after the initial registration, and more frequently if required by changes in Contractor's/Grantee's information.

4. TOTAL COMPENSATION.

- 4.1. Contractor/Grantee shall include Total Compensation in SAM for each of its five most highly compensated Executives for the preceding fiscal year if:
 - 4.1.1. The total Federal funding authorized to date under the Award is \$30,000 or more if the source of funding is a Grant, or otherwise \$25,000 or more if the source of funding is not a Grant; and
 - 4.1.2. In the preceding fiscal year, Contractor/Grantee received:
 - 4.1.2.1. 80% or more of its annual gross revenues from Federal procurement contracts and subcontracts and/or Federal financial assistance Awards or Subawards subject to the Transparency Act; and
 - 4.1.2.2. \$30,000,000 or more in annual gross revenues from Federal procurement contracts and subcontracts and/or Federal financial assistance Awards or Subawards subject to the Transparency Act if the source of funding is a Grant or otherwise \$25,000,000 or more in annual gross revenues from Federal procurement contracts and subcontracts and/or Federal financial assistance Awards or Subawards subject to the Transparency Act if the source of funding is not a Grant; and
 - 4.1.2.3. The public does not have access to information about the compensation of such Executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d) or § 6104 of the Internal Revenue Code of 1986.

5. REPORTING.

- 5.1. If Contractor/Grantee is a Subrecipient of the Award pursuant to the Transparency Act, Grantee shall report data elements to SAM and to the Prime Recipient as required in this Exhibit. No direct payment shall be made to Grantee for providing any reports required under these Federal Provisions and the cost of producing such reports shall be included in the Contract/Grant price. The reporting requirements in this Exhibit are based on guidance from the US Office of Management and Budget (OMB), and as such are subject to change at any time by OMB. Any such changes shall be automatically incorporated into this Contract/Grant and shall become part of Contractor's/Grantee's obligations under this Contract/Grant.

6. EFFECTIVE DATE AND DOLLAR THRESHOLD FOR REPORTING.

- 6.1. If the source of funding is a Grant, Reporting requirements in §8 below apply to new Awards as of October 1, 2010, if the initial award is \$30,000 or more. If the initial Award is below \$30,000 but subsequent Award modifications result in a total Award of \$30,000 or more, the Award is subject to the reporting requirements as of the date the Award exceeds \$30,000. If the initial Award is \$30,000 or more, but funding is subsequently de-obligated such that the total award amount falls below \$30,000, the Award shall continue to be subject to the reporting requirements.
- 6.2. If the source of funding is not a Grant, Reporting requirements in §8 below apply to new Awards as of October 1, 2010, if the initial award is \$25,000 or more. If the initial Award is below \$25,000 but subsequent Award modifications result in a total Award of \$25,000 or more, the Award is subject to the reporting requirements as of the date the Award exceeds \$25,000. If the initial Award is \$25,000 or more, but funding is subsequently de-obligated such that the total award amount falls below \$25,000, the Award shall continue to be subject to the reporting requirements.
- 6.3. The procurement standards in §8 below are applicable to new Awards made by Prime Recipient as of December 26, 2015. The standards set forth in §10 below are applicable to audits of fiscal years beginning on or after December 26, 2014.

7. SUBRECIPIENT REPORTING REQUIREMENTS.

- 7.1. If Contractor/Grantee is a Subrecipient, Contractor/Grantee shall report as set forth below.
 - 7.1.1. To SAM. A Subrecipient shall register in SAM and report the following data elements in SAM *for each* Federal Award Identification Number (FAIN) assigned by a Federal agency to a Prime Recipient no later than the end of the month following the month in which the Subaward was made:
 - 7.1.1.1. Subrecipient DUNS Number;
 - 7.1.1.2. Subrecipient DUNS Number if more than one electronic funds transfer (EFT) account;
 - 7.1.1.3. Subrecipient parent's organization DUNS Number;
 - 7.1.1.4. Subrecipient's address, including: Street Address, City, State, Country, Zip (+ 4 if source of funding is a Grant or as otherwise directed per SAM directives for proper reporting), and Congressional District;
 - 7.1.1.5. Subrecipient's top 5 most highly compensated Executives if the criteria in §4 above are met; and
 - 7.1.1.6. Subrecipient's Total Compensation of top 5 most highly compensated Executives if the criteria in §4 above met.
 - 7.1.2. To Prime Recipient. A Subrecipient shall report to its Prime Recipient, upon the effective date of the Contract/Grant, the following data elements:
 - 7.1.2.1. Subrecipient's DUNS Number as registered in SAM.
 - 7.1.2.2. Primary Place of Performance Information, including: Street Address, City, State, Country, Zip code + 4, and Congressional District.

8. PROCUREMENT STANDARDS.

- 8.1. Procurement Procedures. A Subrecipient shall use its own documented procurement procedures which reflect applicable State, local, and Tribal laws and applicable regulations, provided that the procurements conform to applicable Federal law and the standards identified in the Uniform Guidance, including without limitation, 2 CFR 200.318 through 200.327 thereof.

- 8.2. If the source of funding is a Grant: Domestic preference for procurements (2 CFR 200.322). As appropriate and to the extent consistent with law, the non-Federal entity should, to the greatest extent practicable under a Federal award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The requirements of this section must be included in all subawards including all contracts and purchase orders for work or products under this award.
- 8.3. Procurement of Recovered Materials. If a Subrecipient is a State Agency or an agency of a political subdivision of the State, its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247, that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

9. ACCESS TO RECORDS.

- 9.1. A Subrecipient shall permit Recipient/Prime Recipient and its auditors to have access to Subrecipient's records and financial statements as necessary for Recipient to meet the requirements of 2 CFR 200.311-200.332 (Requirements for pass-through entities), 2 CFR 200.300 (Statutory and national policy requirements) through 2 CFR 200.309 (Period of performance), and Subpart F-Audit Requirements of the Uniform Guidance.

10. SINGLE AUDIT REQUIREMENTS.

- 10.1. If a Subrecipient expends \$750,000 or more in Federal Awards during the Subrecipient's fiscal year, the Subrecipient shall procure or arrange for a single or program-specific audit conducted for that year in accordance with the provisions of Subpart F-Audit Requirements of the Uniform Guidance, issued pursuant to the Single Audit Act Amendments of 1996, (31 U.S.C. 7501-7507). 2 CFR 200.501.
 - 10.1.1. Election. A Subrecipient shall have a single audit conducted in accordance with Uniform Guidance 2 CFR 200.514 (Scope of audit), except when it elects to have a program-specific audit conducted in accordance with 2 CFR 200.507 (Program-specific audits). The Subrecipient may elect to have a program-specific audit if Subrecipient expends Federal Awards under only one Federal program (excluding research and development) and the Federal program's statutes, regulations, or the terms and conditions of the Federal award do not require a financial statement audit of Prime Recipient. A program-specific audit may not be elected for research and development unless all of the Federal Awards expended were received from Recipient and Recipient approves in advance a program-specific audit.
 - 10.1.2. Exemption. If a Subrecipient expends less than \$750,000 in Federal Awards during its fiscal year, the Subrecipient shall be exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 (Relation to other audit requirements), but records shall be available for review or audit by appropriate officials of the Federal agency, the State, and the Government Accountability Office.
 - 10.1.3. Subrecipient Compliance Responsibility. A Subrecipient shall procure or otherwise arrange for the audit required by Subpart F of the Uniform Guidance and ensure it is properly performed and submitted when due in accordance with the Uniform Guidance. Subrecipient shall prepare appropriate financial statements, including the schedule of expenditures of Federal awards in accordance with 2 CFR 200.510 (Financial statements) and provide the auditor with access to personnel, accounts, books, records, supporting documentation, and other information as needed for the auditor to perform the audit required by Uniform Guidance Subpart F-Audit Requirements.

11. CONTRACT/GRANT PROVISIONS FOR SUBRECIPIENT CONTRACTS.

- 11.1. In addition to other provisions required by the Federal Awarding Agency or the Prime Recipient, Contractors/Grantees that are Subrecipients shall comply with the following provisions. Subrecipients shall include all of the following applicable provisions in all subcontracts entered into by it pursuant to this Contract/Grant.

- 11.1.1. [Applicable to federally assisted construction contracts.] Equal Employment Opportunity. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of “federally assisted construction contract” in 41 CFR Part 60-1.3 shall include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, “Equal Employment Opportunity” (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” and implementing regulations at 41 CFR part 60, Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor.
- 11.1.2. [Applicable to on-site employees working on government-funded construction, alteration and repair projects.] Davis-Bacon Act. Davis-Bacon Act, as amended (40 U.S.C. 3141-3148).
- 11.1.3. Rights to Inventions Made Under a contract/grant or agreement. If the Federal Award meets the definition of “funding agreement”/ “funding Contract” under 37 CFR 401.2 (a) and the Prime Recipient or Subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,”/”funding Contract”, the Prime Recipient or Subrecipient must comply with the requirements of 37 CFR Part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the Federal Awarding Agency.
- 11.1.4. Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended. Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal awardee(s) to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Awarding Agency and the Regional Office of the Environmental Protection Agency (EPA).
- 11.1.5. Debarment and Suspension (Executive Orders 12549 and 12689). A contract award (see 2 CFR 180.220) must not be made to parties listed on the government wide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), “Debarment and Suspension.” SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.
- 11.1.6. Byrd Anti-Lobbying Amendment (31 U.S.C. 1352). Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.
- 11.1.7. Never contract with the enemy (2 CFR 200.215). Federal awarding agencies and recipients are subject to the regulations implementing “Never contract with the enemy” in 2 CFR part 183. The regulations in 2 CFR part 183 affect covered contracts, grants and cooperative agreements that are expected to exceed \$50,000 within the period of performance, are performed outside the United States and its territories, and are in support of a contingency operation in which members of the Armed Forces are actively engaged in hostilities.
- 11.1.8. Prohibition on certain telecommunications and video surveillance services or equipment (2 CFR 200.216). Grantee is prohibited from obligating or expending loan or grant funds on certain telecommunications and video surveillance services or equipment pursuant to 2 CFR 200.216.

12. CERTIFICATIONS.

- 12.1. Unless prohibited by Federal statutes or regulations, Recipient/Prime Recipient may require Subrecipient to submit certifications and representations required by Federal statutes or regulations on an annual basis. 2 CFR 200.208. Submission may be required more frequently if Subrecipient fails to meet a requirement of the Federal award. Subrecipient shall certify in writing to the State at the end of the Award that the project or activity was completed or the level of effort was expended. 2 CFR 200.201(3). If the required level of activity or effort was not carried out, the amount of the Award must be adjusted.

13. EXEMPTIONS.

- 13.1. These Federal Provisions do not apply to an individual who receives an Award as a natural person, unrelated to any business or non-profit organization he or she may own or operate in his or her name.
- 13.2. A Contractor/Grantee with gross income from all sources of less than \$300,000 in the previous tax year is exempt from the requirements to report Subawards and the Total Compensation of its most highly compensated Executives.

14. EVENT OF DEFAULT AND TERMINATION.

- 14.1. Failure to comply with these Federal Provisions shall constitute an event of default under the Contract/Grant and the State of Colorado may terminate the Contract/Grant upon 30 days prior written notice if the default remains uncured five calendar days following the termination of the 30-day notice period. This remedy will be in addition to any other remedy available to the State of Colorado under the Contract/Grant, at law or in equity.
- 14.2. Termination (2 CFR 200.340). The Federal Award may be terminated in whole or in part as follows:
- 14.2.1. By the Federal Awarding Agency or Pass-through Entity, if a Non-Federal Entity fails to comply with the terms and conditions of a Federal Award;
 - 14.2.2. By the Federal awarding agency or Pass-through Entity, to the greatest extent authorized by law, if an award no longer effectuates the program goals or agency priorities;
 - 14.2.3. By the Federal awarding agency or Pass-through Entity with the consent of the Non-Federal Entity, in which case the two parties must agree upon the termination conditions, including the effective date and, in the case of partial termination, the portion to be terminated;
 - 14.2.4. By the Non-Federal Entity upon sending to the Federal Awarding Agency or Pass-through Entity written notification setting forth the reasons for such termination, the effective date, and, in the case of partial termination, the portion to be terminated. However, if the Federal Awarding Agency or Pass-through Entity determines in the case of partial termination that the reduced or modified portion of the Federal Award or Subaward will not accomplish the purposes for which the Federal Award was made, the Federal Awarding Agency or Pass-through Entity may terminate the Federal Award in its entirety; or
 - 14.2.5. By the Federal Awarding Agency or Pass-through Entity pursuant to termination provisions included in the Federal Award.

EXHIBIT END